



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/032,052 ✓	12/21/2001 ✓	1661	255 ✓	6551-61555 ✓	1 ✓	1	1

CONFIRMATION NO. 5164

24197
KLARQUIST SPARKMAN, LLP
121 SW SALMON STREET
SUITE 1600
PORTLAND, OR 97204

PREVIOUSLY DOCKETED

FILING RECEIPT



OC000000007394899

Date Mailed: 01/31/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jules Janick, West Lafayette, IN; ✓
Edwin B. Williams, Lafayette, IN; ✓

Assignment For Published Patent Application

Purdue Research Foundation; ✓

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 01/30/2002

Projected Publication Date: 06/26/2003

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY ** ✓

Title

Apple tree named 'Co-op 29' ✓

187

Preliminary Class
PLT

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

RECEIVED
FEB 13 1962
FEDERAL BUREAU OF INVESTIGATION
U.S. DEPARTMENT OF JUSTICE



MARCH 05, 2002

PTAS

Chief Information Officer
Washington, DC 20231
www.uspto.gov

KLARQUIST SPARKMAN, LLP
DAVID P. PETERSEN
ONE WORLD TRADE CENTER, SUITE 1600
121 S.W. SALMON STREET
PORTLAND, OR 97204-2988



101943355A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 12/21/2001

REEL/FRAME: 012442/0554
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
JANICK, JULES

DOC DATE: 12/12/2001

ASSIGNOR:
WILLIAMS, EDWIN B.

DOC DATE: 12/11/2001

ASSIGNEE:
PURDUE RESEARCH FOUNDATION
1291 CUMBERLAND AVENUE
WEST LAFAYETTE, INDIANA 47906

SERIAL NUMBER: 10032052
PATENT NUMBER:

FILING DATE: 12/21/2001
ISSUE DATE:

JEFFREY OLSEN, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

DM

01-14-2002

Atty. Ref. No. 6551-61555
Express Mail Label No. EL874428924US

12/21/01

RECORDATION 101943355

PATENTS ONLY

BOX PATENT APPLICATION
COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231J1045 U.S. PRO
10/032052
12/21/01

1. Total number of pages including cover sheet, attachments and document: 4

2. Name of Conveying Party(ies) and Execution Date(s) of Document(s) ☐ Check here if additional name(s) attached

Name(s): Jules Janick and E. B. Williams

Execution Date(s): December 12, 2001, and December 11, 2001

3. Name and address of receiving party ☐ Check here if additional name(s) & address(es) are attached

Name Purdue Research Foundation

Address 1291 Cumberland Avenue

City West Lafayette

State/Country Indiana

Zip 47906

4. Nature of Conveyance

☒ Assignment ☐ Merger ☐ Security Agreement ☐ Name Change ☐ Other:

5. Total number of applications and patents involved: 1

6. Total Fee Enclosed (37 C.F.R. § 3.41): \$40.00

7. ☒ Check here if any deficiency/overpayment is authorized to be charged to deposit account 02-4550

8. Enter either the Execution date (of the Declaration and Power of Attorney), Application Number, or the Patent Number. Do not enter more than one number for the same patent.

A. ☒ This document is being filed with a new application. Execution date is: 12/12/01 and 12/11/01B. ☐ Patent Application No.(s) or Patent No.(s): _____☐ Check here if additional numbers are attached

9. Correspondent's name, address, and telephone number

David P. Petersen

Klarquist Sparkman, LLP

One World Trade Center, Suite 1600

121 S.W. Salmon Street

Portland, Oregon 97204-2988

Telephone: 503-226-7391

10. ☒ Please return the attached postcard to confirm that these items have been received.11. Statement and signature *To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

David P. Petersen

Name of Person Signing

Signature

December 21, 2001

Date

01/14/2002 6TDH11 00000180 024550 10032052

01 FC:581 40.00 CH

ASSIGNMENT

WHEREAS, We, Jules Janick, of West Lafayette, County of Tippecanoe, State of Indiana, and Edwin B. Williams, of Lafayette, County of Tippecanoe, State of Indiana, have invented a certain invention, entitled APPLE TREE NAMED 'Co-op 29', for which we are making application for Letters Patent of the United States, said application having been executed by each of us the same day as set forth next to our respective signatures below; and

WHEREAS, Purdue Research Foundation, a corporation organized under the laws of the State of Indiana, having a place of business at Purdue Univeristy, 1291 Cumberland Avenue, West Lafayette, Indiana 47906, is desires to acquire an interest therein:

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, we, Jules Janick and Edwin B. Williams, hereby sell, assign and transfer to Purdue Research Foundation, the full and exclusive right, title and interest in and to said invention, patent application and patent rights throughout the world, including foreign patent priority rights and foreign Plant Breeders Rights, the right to file and prosecute International Applications, including under the Patent Cooperation Treaty, the right to file and prosecute applications under the European Patent Convention, and the right to file and prosecute applications under the International Union for the Protection of New Varieties (UPOV) and the European Community Plant Variety Office (CVPO); said invention, application, letters patent and varieties protection in this or any foreign country, and all divisions, continuations, reissues and extensions thereof, to be held and enjoyed by Purdue Research Foundation, for its own use and benefit, and for its successors and assigns to the full end of the term for which letters patent may be granted in this or any foreign country, as fully and entirely as the same would have been held by me had this assignment and sale not been made, and covenant that I have full right so to

do, and agree that I will communicate to Purdue Research Foundation, or its successors and assigns, any facts known to me respecting said invention, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths, and do everything possible to aid Purdue Research Foundation, its successors and assigns to obtain and enforce proper patent protection for said invention in this or any foreign country.

We hereby grant the law firm of Klarquist Sparkman Campbell Leigh & Winston, LLP, the power to insert on this Assignment any further information which may be necessary or desirable in order to comply with all applicable legal requirements, including the rules of the United States Patent and Trademark Office, for submitting and recording this document.

Signed: Jules Janick
Jules Janick
Date: Dec. 12, 2001

STATE OF INDIANA)
) ss.
COUNTY OF Tippecanoe)

This 12 day of December, 2001, before me personally came the above-named Jules Janick, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

Colleen K. Martin
Notary Public For Indiana – Print Name

My commission
expires: 4-17-2008

[SEAL]

Colleen K. Martin
Notary Public State of Indiana
Clinton County
My Commission Exp. April 17, 2008

Signed: Edwin B. Williams
Edwin B. Williams

Date: Dec 11, 2001

STATE OF INDIANA)
) ss.
COUNTY OF Tippecanoe)

This 11th day of December, 2001, before me personally came the above-named Edwin B. Williams, who executed the foregoing instrument in my presence, and who acknowledged to me that he executed the same of his own free will for the purposes set forth therein.

COURTNEY A HEDGE
NOTARY PUBLIC STATE OF INDIANA
BOONE COUNTY
MY COMMISSION EXP. AUG. 17, 2009

Courtney A. Hedge
Notary Public For Indiana – Print Name
Courtney A. Hedge

My commission
expires: AUGUST 17, 2009

[SEAL]